

# HICON: An Autonomous Control System

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## Abstract

Development of an autonomous control system is one of the most interesting issues in control theory. To achieve autonomous control facilities, some high-level control modules and intelligent control methodologies should be incorporated into one system.

This paper proposes a framework for autonomous control with three layers. Each layer has its own functions and its own modules which cooperate with one another. An abstracted control task in a topmost layer is practically realized with more detailed informations by control modules in lower layers. This framework is based on an event-based supervising control, a rule-based fuzzy logic control, and neural-network-based adaptive modelling. In this paper we deal with the architecture of proposed autonomous control system, functions of each module, a supervising and learning method, an adaptive modelling method. Based on these concepts, we introduce a realized autonomous control system, named HICON. Finally, we explain experimental results with a temperature plant.

## I. Introduction

Nowadays, some researchers have studied intelligent control systems with a high degree of autonomy [1, 2, 3, 4].

A highly autonomous control system must have the ability to function as an independent unit or element over an extended period of time under significant uncertainties in the plant and the environment [1, 4]. This autonomy needs some capabilities such as self-diagnosing, self-learning, self-reasoning, and so on [5].

To equip with these capabilities, some intelligent control methodologies must be integrated into one unified control system. This paper proposes a framework for constructing an autonomous control system based on an event-based intelligent control, a rule-based fuzzy logic control, and neural network modelling. This framework has a hierarchical structure and its functional modularity. We employed three layers for information management---a task management layer, a supervising control layer, a continuous control layer.

Each layer deals with its own informations: the task management layer manages control tasks, the supervising control layer treats discrete events for a control task, the continuous control layer controls the real plant for an event with continuous data. A scheduled task is concretely planned in a task management layer; the planed detail objectives are

managed by a supervising control layer; and each objective is practically realized by a continuous control layer.

An event-based control in this framework provides a main control algorithm. This paradigm, introduced by Zeigler [6, 7], is based on the simulation theory for discrete event systems [8, 9]. This intelligent control paradigm internally has knowledges of a plant with discrete levels. Using these knowledges, the controller can diagnose the plant operation by comparing the knowledges with the results of plant operation. These knowledges are formally represented as an *endomorphie plant model*.

However, a static plant model can not offer an adaptation of an environment change caused by a model-plant mismatch, parameter variations, and so on. We employed an artificial neural network model as an *endomorphie plant model*. This modelling offers two advantages: 1) adaptation of environment change, 2) on-line modelling. This ability, on-line modelling of an endomorphie plant model, provides a control system with high autonomy.

A nonlinear laboratory-scale temperature plant is employed to observe the control operations of our system. As we designed, our control system operates well with information hierarchy and high autonomy such as on-line modelling and diagnosis. This framework provides a basis to construct a highly autonomous control system and shall be extended to a more autonomous control system through incorporation of automatic rule generation capability for a fuzzy logic control and autonomous task generation and scheduling from control objectives given by users.



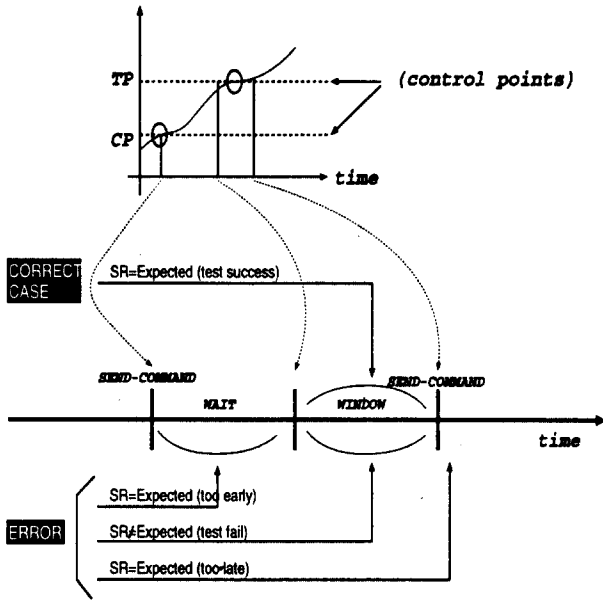


Fig. 2. Diagnosis of event-based control paradigm (SR: Sensor Reading).

The DEVS provides a mathematically sound semantics to specify operations of discrete event systems in a hierarchical, modular manner. The DEVS formalism is specified by a 7-tuple as:

$$M = \langle X, S, Y, \delta_{int}, \delta_{ext}, \lambda, ta \rangle \quad (1)$$

where

- $X$  is the input events set
- $S$  is the sequential state set
- $Y$  is the output events set
- $\delta_{int} : S \rightarrow S$  is the internal transition function
- $\delta_{ext} : Q \times X \rightarrow S$  is the external transition function where  $Q = \{(s, e) \mid s \in S, 0 \leq e \leq ta(s)\}$
- $\lambda : S \rightarrow Y$  is the output function
- $ta : S \rightarrow R_{0, \infty}^+$  is the time advanced function

Dynamics of a discrete event system is fully modeled with the formalism at finite discrete event levels. The inputs  $X$ , states  $S$  and outputs  $Y$  are static components to represent the dynamics of the system. On the other hand, the other four elements are dynamic functions to represent the behavioral characteristics of the system. The external transition function takes charge of the state transition of a system caused by external inputs. That is, when the function receives an external input, the function makes the state transition with the current states  $S$  and the elapsed time  $e$ .

Similarly, the internal transition function handles the state transition of a system after the schedule time, specified by the time advance function, is completely elapsed. The output

function generates outputs in accordance with the current state.

The state transition time in DEVS is specified by a time point because the state transition of a system will occur on a time point. As already discussed, the state transition time in event-based control is determined by a time duration, *time windows*. Luh and Zeigler [2] devised a modified DEVS to fit in event-based control. The modified DEVS, named *event-based-control DEVS*, is defined as follows [2].

$$M = \langle X, S, Y, \delta_{int}, \delta_{ext}, \lambda, ta \rangle \quad (2)$$

where  $X, Y, \delta_{int}, \delta_{ext}, \lambda$  are as before, and

- $S = B \times X$ , where  $B$  is a finite set of elements, each called a boundary;
  - $ta : S \rightarrow R_{0, \infty}^+ \times R_{0, \infty}^+$ , i.e.,  $ta(s) = [r, r']$ , where  $r, r' \in R_{0, \infty}^+$  and  $r \leq r'$ ;
- subject to the constraints

- 1)  $\delta_{int} = (b', x)$ ;
- 2)  $\delta_{ext}((b', x), 0, x') = (b, x')$ ;

The first constraint indicates that the boundary crossing is represented by the internal transition function. The second constraint states that the discontinuous change of outputs is impossible even though external inputs are changed. The main difference is that time advanced function generates a time window instead of a time point as already mentioned.

Using this event-based-control DEVS, an event-based plant model, often called an *endomorphnic plant model*, is constructed and used to diagnose. The main advantage of the event-based control is that the error information can be effectively used to find where the errors occur and to correct them[3]. Moreover, an event-based controller does not require high precision of the output sensor in contrast to conventional sampled data systems[3, 6]. This event-based controller can also be used as a supervising controller for lower continuous controllers. In supervising control, main operations of the event-based controller are to schedule control objectives, to plan each objective, and to diagnose the plant operation.

## 2. Endomorphnic Neural Network Plant Model

Development of an event-based plant model, however, would be extremely unwieldy and may lead to intractable computations in practice[2]. Moreover, the modelling has difficulty to express the change of the plant dynamics caused by environmental variation and time varying parameters of the plant. These problems can be overcome by taking artificial neural network modelling. An endomorphnic neural network model can well adapt to the change of the plant dynamics by learning new dynamics[10]. The neural network model maps the parameters of an event-based plant model. Thus, the model can automatically generate

parameters of an event-based plant model, and can learn new parameters when the parameters are changed in an on-line manner. Thus, this modelling method provides the event-based controller with self-learning capability. More detailed description of the neural network modelling is in [10].

### 3. Fuzzy Logic Control

Ever since Mamdani proposed it in 1974 [11], fuzzy control has been one of the most successful application techniques in the fuzzy theory. Nowadays fuzzy control based on fuzzy logic resolves so many problems that are seemed to be impossible by conventional control methodologies. In particular, fuzzy control can be very useful when plants are too complex for analysis or when the available sources of information are inexact and uncertain [12].

A fuzzy logic control (FLC) can be regarded as a means of emulating a skilled human operation in the human-in-loop system [13] because many control strategies of skilled humans can be mapped into the FLC through the rules [12, 13]. The FLC is basically composed of several functional modules---fuzzification, defuzzification, knowledge base, and decision-making logic---as shown in Figure 3.

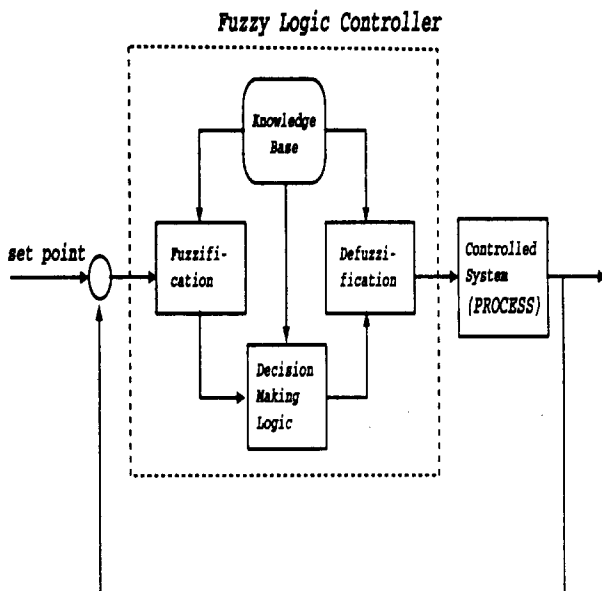


Fig. 3. A Systematic Overview of a Fuzzy Logic Control.

All informations treated in decision making logic are fuzzy numbers. Thus, external error informations must be converted to fuzzy numbers by the fuzzification module. On the other hand, the result outputs of decision making logic must be converted to crisp numbers through the defuzzification module.

Control dynamics in decision making logic is stored in knowledge base as an *If (antecedent)-Then (consequent)* rule form. Finally, the outputs of the decision-making logic controls the plant through the defuzzification module. For input processing, singletons are often used directly without employing complicated fuzzification in many practical cases. Recently, researches of automatic rule generation for a FLC using neural networks have been intensively studied to provide more autonomous control [14, 15, 16].

## III. Event-Based Fuzzy Control System

This section describes motivation, the architecture of an event-based fuzzy control system, and its control operation.

### 1. Motivation

To make a control system perform complex and laborious tasks currently done by people, some intelligent control methodologies must be integrated into an intelligent control system in a systematic manner with hierarchy and modularity. This integration of intelligent control methodologies helps a control system to be equipped with an autonomous control capability. An autonomous control system must perform well under significant uncertainties in the plant and the environment for extended periods of time. They must also be able to compensate for system failures without external intervention [4]. Methods to extract only the necessary information from the data are becoming essential in the quest for higher autonomy. The methods are related to the problem of extracting more abstract models with levels and to the more abstract control knowledges with levels. Thus, the autonomous control system must employ a *hierarchical control structure* in which a higher-level intelligent controller supervises a lower-level controller [17]. Normally, plants are too complex to describe them with conventional mathematical system models such as differential or difference equations. The control system must be able to deal effectively with significant uncertainties in models of increasingly complex dynamical systems.

Resolution directly determines the complexity of computations. In complex systems and situations one level of resolution is not sufficient because the total space of interest is usually large, and the final accuracy is usually high enough. This consecutive focusing of attention results in a multilevel task decomposition. Intelligence is oriented toward complexity reduction. Intelligence allows for an increase in functionality with a reduction of computational complexity. Thus instead of solving in one shot the whole problem with the maximum volume of the state space and with the amount of high resolution details one may choose to solve several substantially simpler problems nested one within another. The

control intelligence is hierarchically distributed according to the Principle of Precision with Decreasing Intelligence (IPDI), evident in all hierarchical management systems. Event-based intelligent controllers are good candidates for implementing more robust co-ordination schemes.

Figure 4 shows the three level control structure scheme of our autonomous controller.

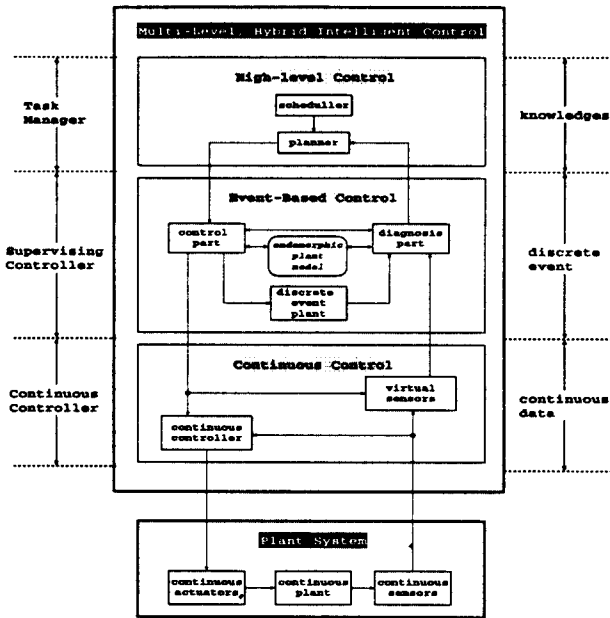


Fig. 4. Control Structure of Our Control System.

High-level control manages control objectives as an unit of task. The control tasks are scheduled by a scheduler with a task name and schedule time.. A planner decides the detailed state transitions for a scheduled task, and a planned target state is sent to the event-based control. An event-based controller obtains a real set point from the planned target state, and sends the set point to a continuous controller. The continuous controller tries to move the plant's state to the set point. The virtual sensor reads the output value of a continuous sensor and generates a threshold signal which indicates reaching the set point if the reading value equals to the set point. Using the threshold signal, the diagnosis part of the event-based control decides whether the plant operation is correct or not with the endomorphic plant model. If errors occur, then the module sends error informations to a diagnoser. Otherwise, the module sends a success signal to the planner. When the planner receives the success signal, it plans a new target state. A task can be generally represented as a circular state transition diagram. Thus the above sequences are repeated in corresponding to the current schedule task.

2. System Architecture

Based on the discussion of control structure in previous

section, we designed our control system architecture as shown in Figure 5.

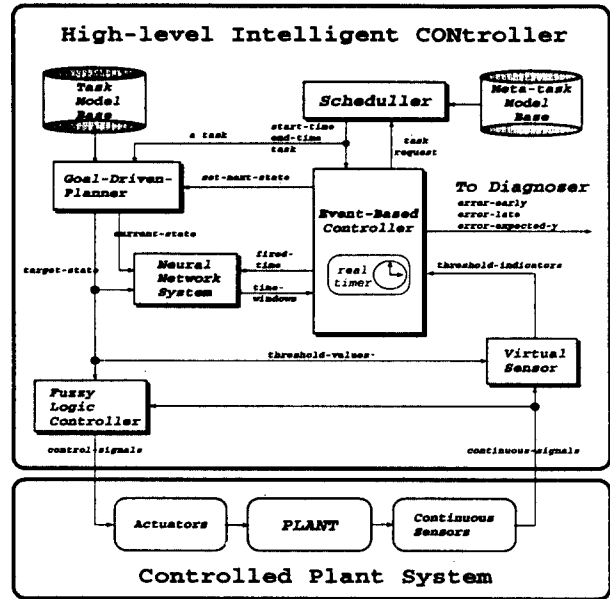


Fig. 5. Overall Structure of HICON.

We used a fuzzy logic controller as a continuous controller because this control method is very powerful; and a neural network model as an endomorphic plant model because this modelling method offers adaptability and on-line learning.

A High-level Intelligent Controller (HICON) is composed of five modules--- a task management module, an event-based control module, a fuzzy logic control module, a neural network module, and a virtual sensor module. The task management module is composed of two active element--- scheduler, goal-driven planner (GDP)--- and two passive data bases---a meta-task model base and a task model base. Control objectives are classified as tasks and stored to task models; and the tasks are managed by a scheduler as a meta-task model. In this paper, we employed a FIFO queue as a simple scheduler with three items, start-time, end-time, and task name. Thus, tasks in a meta-task model are ordered with start and end time.. The time duration of a task should not be overlapped with any other tasks.

The control scenario of HICON is as follows. The event-based controller manages overall control sequences and keeps a real timer to manage diagnostic time informations. When the control starts, the event-based controller requests a task to a scheduler. Then the scheduler sends a task in a meta-task model to the GDP and the event-based controller. The GDP sets its current task to the scheduled task as soon as it receives the scheduled task. When the start-time is arrived, then the event-based controller sends a set-next-state signal to the GDP and starts the measure of fired-time. That is, the time for the continuous plant to transit to a target state



We employed a nonlinear laboratory-scale temperature plant to observe the control operation of our system. Dynamics of the temperature plant is hard to be modeled or may be impossible on an environment with large disturbance. This is because dynamics of the plant depends on nonlinear factors such as the temperature of environment air, humidity, ventilation, and so on. Moreover, the parameters of the model may be dynamically changed. Thus, this plant can be a good control example even simple. Figure 7 shows the overall experimental environment of temperature control.

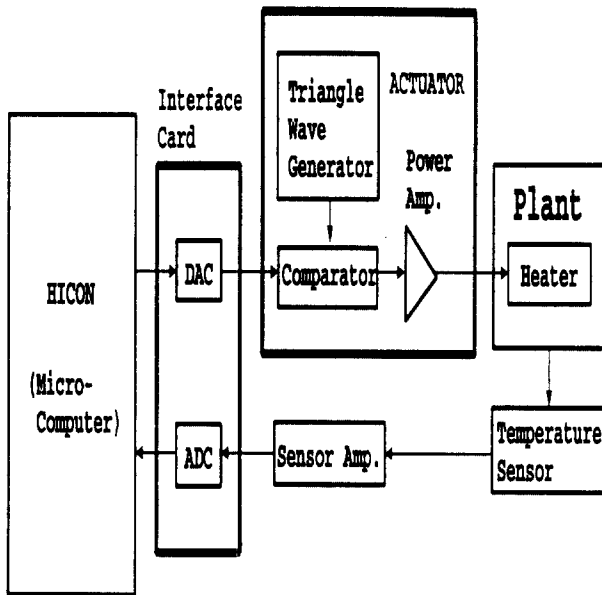


Fig. 7. Temperature Control Setup.

Our control system, HICON, is operated on a micro-computer and interfaced with external environment via an interface card, PCL-712. A control command (for example *turn-on, turn-off*) is translated to a value and this value is sent to DAC. The control value is amplified via actuator and applied to a heater to adjust room temperature. The room temperature is sensed by a temperature sensor. Then the result voltage is applied to an ADC via an amplifier because this sensed signal is very weak. A digital value which represents the voltage is converted to a temperature value through a voltage-temperature lookup table. A virtual sensor uses this temperature value to make a threshold signal.

The HICON is implemented on an expert system shell *ART-IM/windows*. The *ART-IM/windows* is a complete toolkit for the development of rule-based, or knowledge-based system. This toolkit also supports the LISP-like *ART-IM* language and several development tools and graphic interface tools[18]. Also this can be easily expanded using dynamic interface facility.

2. Fuzzy Logic Controller

The control structure of a FLC realized in our system is shown in Figure 8.

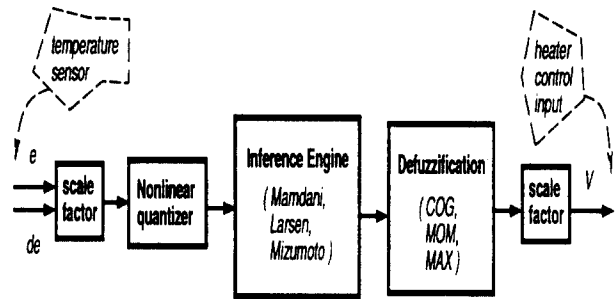


Fig. 8. FLC block diagram.

Two inputs---*e* and *de*---are directly applied to the inference engine through a scale factor and a nonlinear quantizer because we used singletons without complicated fuzzification as used in many practical applications. The scale factor makes all input variables have same universe of discourse one another. Scaled inputs are quantized such that a digital computer discretely manages continuous membership functions. In the quantization, we used a nonlinear quantization method because the FLC focuses on the vicinity of a set point for fine tuning. The inference engine calculates an output value using given fuzzy rules and input sigletones. We also used nonuniform membership functions in inferencing for fine tuning near a set point.

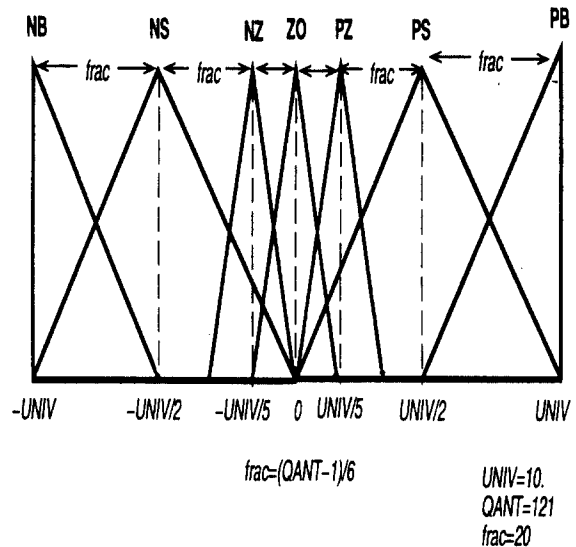


Fig. 9. Nonuniform support of membership and nonlinear quantization.

That is, ZO-label is divided into three parts ---NZ, ZO, PZ.

Three direct reasoning methods---*Mamdani, Larsen,*

Mizumoto---and three defuzzification methods---center-of-area (COG), middle-of-maxima (MOM), first-of-maxima (MAX) are implemented for flexible use.

Figure 10 shows fuzzy rules for the temperature plant which are obtained by a human expert.

|        |    |    |    |    |    |    |    |
|--------|----|----|----|----|----|----|----|
| e \ ce | nb | ns | nz | zo | pz | ps | pb |
| nb     | nb | nb | nb | nb | nb | nb | ns |
| ns     |    | nb | nb | ns | ns | nz |    |
| nz     |    | nb | ns | nz | pz | zo |    |
| zo     |    | ns | nz | zo | pz |    |    |
| pz     |    | pz | zo | pz | pz | pz |    |
| ps     |    | ps | pb | ps | ps | pb |    |
| pb     | ps | ps | pb | pb | pb | pb | pb |

Fig. 10. Used Temperature Plant Rules.

For a more autonomous ability, automatic fuzzy rule generation methodologies have been studying.

### V. Experimental Results and Discussion

This section deals with the detailed control operation of our control system, experimental results, and discussion about the results.

#### 1. Control Scenario

We will describe control operations of our system with a simple control task. The aim of this control task is to regulate set points which are scheduled by a scheduler with time. All event time in our control system must be physical real time, not logical virtual time because we actually control a real plant. Thus, the event-based control module must manage a physical real timer. An event must be actually occurred only if physical time is equal to the logical time.

Figure 11 shows the flow chart of control operations of HICON.

When control starts, all modules first initialize their internal states and related parameters. The event-based controller sets its initial states and requests a task to a scheduler. A task which is the first entry of a meta-task

model is sent to the GDP and the event-based controller. For example, a task message (10 30 5) implies "Do the task-5 in the task model during 10 to 30 seconds". The GDP finds the task-5 in the task model and sets the task to its current task. The event-based controller received the task message sends a set-next-state signal to the GDP if the start time is arrived. When GDP receives a set-next-state, then the GDP issues a target-state signal to a neural network system, a virtual sensor, and a fuzzy logic controller. If the task-5 is to circularly transit three states---A, B, and C, then the GDP continuously issues a target-state with the value of A, B, and C in order until the current task is changed. If an user wants to keep a state A during the scheduled time, then the state transition diagram must have only one state A with circular transition. According to the combination of tasks, control objectives of an user can be implemented.

The FLC uses the target-state, which is a numeric value to represent a target state, as its set point. The FLC continuously controls the plant with the set point, and the virtual sensor continuously monitors the plant output to make a threshold event which indicates that the plant output reaches the target state. If the event-based controller receives the threshold event signal from the virtual sensor, then the event-based controller informs the fired-time to the neural network system if the controller is in learning mode. Otherwise, the controller diagnoses the plant operation using the fired-time and time windows obtained from the neural network system.

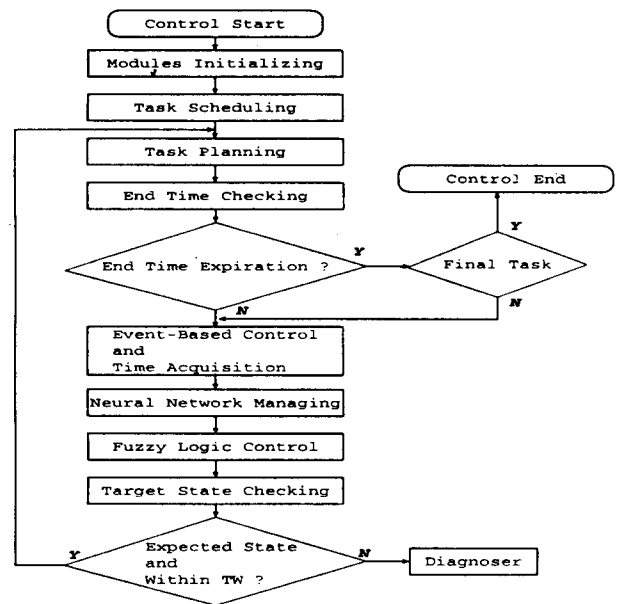


Fig. 11. Flow Chart of Control Operations of HICON.

The neural network system can learn the plant dynamics from this fired-time with a current and target state. If the



end-time is not fired, then the event-based controller sends a *set-next-state* to the GDP again. Otherwise, the event-based controller requests a new task to a scheduler.

2. Experimental Results

Figure 12 shows an experimental result, illustrating all control modules with four windows, namely an event-based controller window, a fuzzy controller window, a temperature plant window, and an activity trace window. We describes all windows in detail.

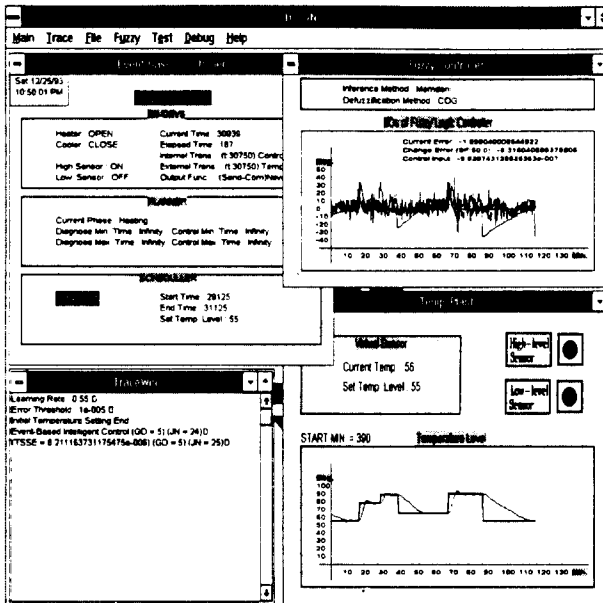


Fig. 12. Overall Control Environment.

Figure 13 shows the event-based control window. This window shows the related informations of high-level modules---a scheduler, a planner, and an event-based controller. The scheduler scheduled a task that the temperature of the plant is to regulate 55 during 29125 to 31125 seconds. The plant temperature was 90 degree on 29125 seconds and reaches 55 degree on 30750 seconds. At that time, an external event (a threshold-indicator signal) is entered to the event-based controller as shown in the EB-DEVS box. The external event immediately invokes an internal event to generate an output. Thus the internal transition function is done at the same time, and generate a new output, *New SP*, to the GDP. However, the target state is not changed because this task is to regulate a set point. The same target state with before cannot be diagnosed because no state transitions occurs. Thus the time windows have infinity as shown in the PLANNER box. The EB-DEVS box also shows the state of heater and cooler and sensors. A high sensor indicates that the plant temperature is higher than the set point and low sensor *vice versa*.

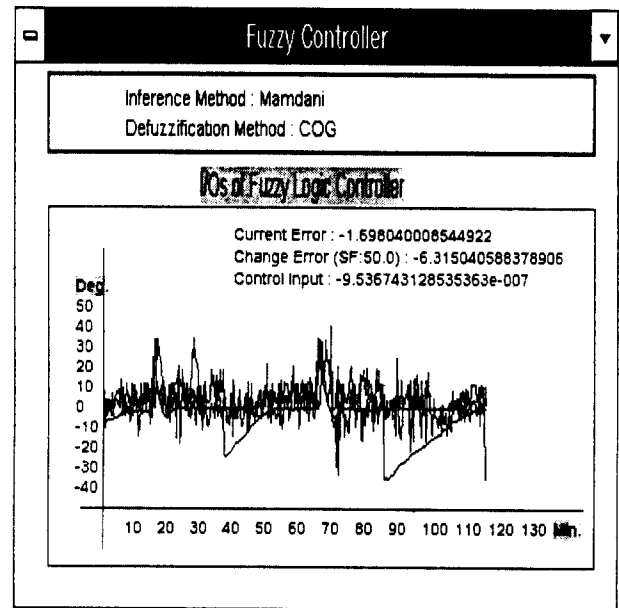


Fig. 14. Fuzzy Logic Control Window.

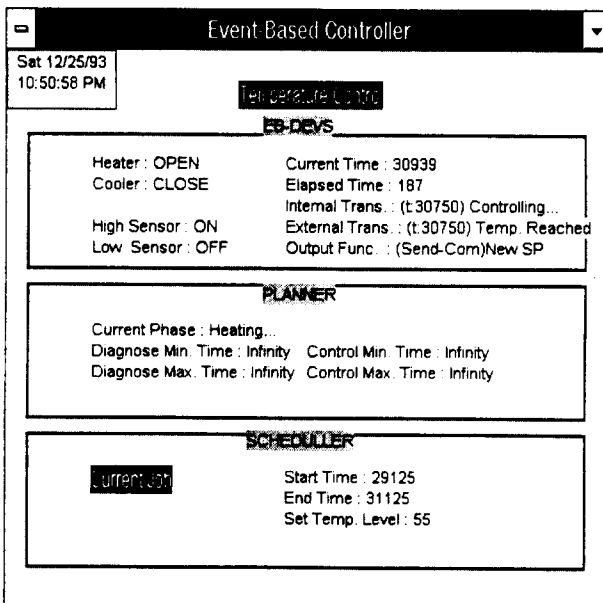


Fig. 13 Event-based Control Window.

Figure 14 shows the fuzzy controller window which displays control operations of a fuzzy logic controller. The FLC receives a set point from the GDP, and it calculates a control input using the given fuzzy rules. In the calculation process, we employed a Mamdani inference method and a center-of-gravity defuzzification method because this combination shows best performance in our experiment. The FLC has two inputs and one control output because our control plant is a single input single output (SISO) system. The window also displayed the input and output crisp values.

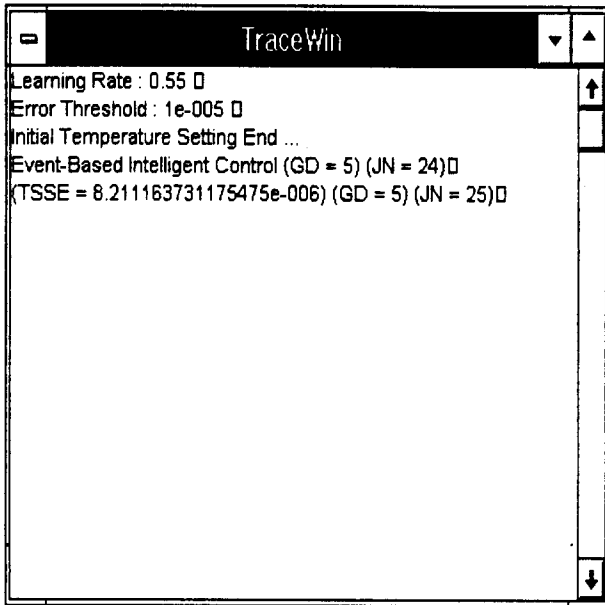


Fig. 15. Activity Trace Window.

Figure 15 shows the activities in control operations. Trace levels in the menu-bar adjust the display level of activities. The trace has an event-level, a module level, and an operational level. In the figure, the level is operational level, thus only brief operational activities are displayed. This can be changed to other level by a user request in an on-line manner. In the figure, a neural network learning rate that is used in neural network modelling is shown. An error threshold value is a value to decide whether the neural network is well learned or not. That is, the event-based controller diagnoses the plant operation only if the error threshold is satisfied. In the figure, *GD* and *JN* are the number of gathered data and the number of controlled tasks after control starts. The current status is that only 5 data are obtained in control 25 tasks. A data is obtained at least a task is executed at least twice. This is because that a time window has minimum and maximum time window. Currently, the neural network is sufficiently learned because the TSSE ( $8.21e^{-6}$ ) is less than the error threshold. Also currently scheduled task is already mapped to the neural network. Thus, the event-based controller can diagnose the plant operation. On the 30750 seconds, when an event is entered to the event-based controller, the controller diagnosed.

Figure 16 shows the output of the temperature plant with time. Current set point is \$55\$ and current temperature is 56. Start time of the graph is 390 minutes. Thus, actual time is calculated by adding 390 minutes to the graph time. We do not use a cooler for cooling, so cooling needs much time than heating.

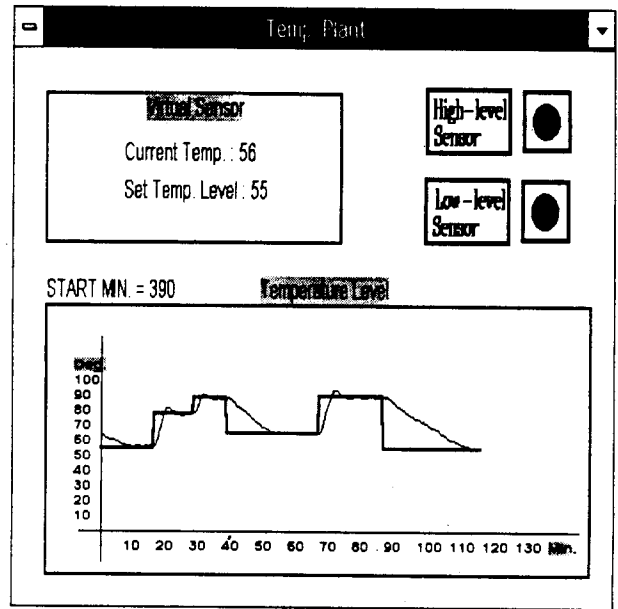


Fig. 16. Display of Status of Temperature Plant.

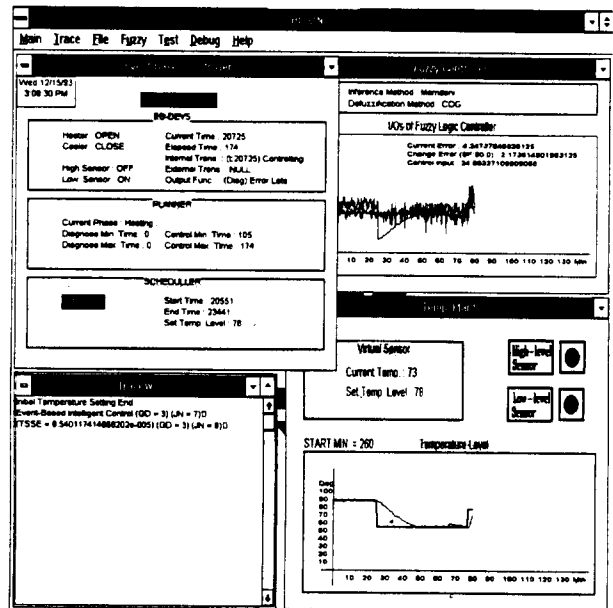


Fig. 17. Diagnosis Status of HICON.

The main advantage of the event-based controller is that this control has an ability to diagnose. If the neural network model is sufficiently learned and the current task is the same as the learned data. Figure 17 shows a diagnostic situation.

A time window for a temperature transition from 55 degree to 78 degree is given as (105, 174) as shown in the PLANNER box. The event-based controller issues a too-late error because the elapsed time exceeds the maximum time of the time window, (105, 174). For the fault generation, we

forced the heater not to heat during some time to make the heating time large. This is very similar to human diagnostic ability because human can diagnose the plant operation using his brain mapped knowledges. However, human can not check whether the plant operation is correct or not if he does not know the plant dynamics in his brain neuron. Human who waits for a specific state of a plant will decide that it's too late in consideration past experiences if the state does not reach after a specific time.

## VI. Conclusions

This paper proposed a framework for more autonomous control system with some intelligent control facilities such as an event-based control, neural network modelling, and a fuzzy logic control. We employed a hierarchical structure to systematically manage the informations of plant with a modular manner. This control system has some autonomous capabilities: self-scheduling of tasks, self-planning of a task, self-modelling of a nonlinear plant, and self-diagnosis of the plant operation. A laboratory-scale temperature plant was employed to experiment our system. Experimental results showed that our control framework could offer more autonomous control capabilities than each intelligent systems. This indicates the functions of the unified system is improved by integration of each module. This control framework shall be extended to a more autonomous control system through incorporation of automatic rule generation capability for a fuzzy logic controller and autonomous task generation and scheduling from control objectives given by users [19, 20].

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